

Date: Place:

FATCA-CRS Declaration & Supplementary KYC Information Declaration Form for Individuals

Please consult a tax professional for further guidance regarding your tax residency for FATCA & CRS compliance

PAN OR P	AN Exempt KYC Ref No. (PEKRN)	
Name		
Father's Name		
Gender ☐ Male ☐ Female ☐ Other	Nationality ☐ Indian ☐ U.S. ☐ Others (Please specify]	
Status	☐ Resident ☐ NRI ☐ Foreign National ☐ Others (Please specify]	
Address Type [for KYC address] Residential Residential / Business Business Address of tax residence would be taken as available in KYC Registration Agency (KRA) database. In case of any change, please fill up and submit a separate KYC Change Request Form with supporting documents to update the changes		
Place of Birth	Country of Birth Date of Birth DD/MM/YYYY	
Occupation Details [Please tick any one (√) □ Business □ Professional □ Public Sector Secto	Service	
Gross Annual Income in INR ☐Below 1 Lakh ☐	1-5 Lakh ☐ 5-10 Lakh ☐ 10-25 Lakh ☐ 25 Lakh-1crore ☐ >1 Crore	
Net Worth (Optional) INRLakh Net Worth as of DD / MM / YYYY		
Are you a Politically Exposed Person [PEP] → Yes No Are you Related to a PEP→ Yes No		
Are you a tax resident (i.e., are you assessed for Tax) in any other country outside India? → Yes No If 'YES', please provide the following details for ALL countries (other than India) in which you are a Resident for tax purposes i.e., where you are a Citizen / Resident / Green Card Holder / Tax Resident in the respective countries Sr. Country of Tax Residency Tax Identification Number or Identification Type If TIN is not available, please		
No.	unctional Equivalent [TIN or other, please specify] tick ☑ the reason A, B or C [as defined below]	
1	→ Reason A B C	
2	→ Reason A B C	
 Reason A → The country where the Account Holder is liable to pay tax does not issue Tax Identification Numbers to its residents. Reason B → The Account Holder is otherwise unable to obtain a TIN or equivalent number. (Please explain below why you are unable to obtain a TIN in the table below if you have selected this reason) 1. 2. 		
Declaration: I hereby confirm that the information provided I shall be responsible to the reporting agency whave read and understood the FATCA & CRS Ter Fund /AMC/ RTA/ broker/DP or any other into information provided by me, including all chang its Sponsor, Asset Management Company, tru Parties') to any Indian or foreign governmenta Intelligence Unit-India (FIU-IND), the tax / re investigation agencies without any obligation of Registered Intermediaries/ and / or to any othe	on Only if the authorities of the respective country of tax residence do not require the TIN to be disclosed) thereinabove is true, correct, and complete to the best of my knowledge and belief and that which relies on this information for compliance with FATCA and CRS. I also confirm that I rms and Conditions below and hereby accept the same. I hereby authorize you, the Mutual ermediary to disclose, share, rely, remit in any form, mode or manner, all / any of the test, updates to such information as and when provided by me to / any of the Mutual Fund, astees, Brokers/DPS /any other intermediary, their employees / RTAs ('the Authorized all or statutory or judicial authorities / agencies including but not limited to the Financial evenue authorities in India or outside India wherever it is legally required and other fadvising me of the same. Further, I authorize to share the given information to other SEBI r regulated intermediaries registered with any other authorities / regulator/s including RBI / update & for other relevant purposes. I also undertake to keep you informed in writing	

Signature:

FATCA & CRS Terms & Conditions

Details under FATCA & CRS: The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income- tax Rules, 1962, which Rules require Indian financial institutions such as the Bank to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our account holders. In relevant cases, information will have to be reported to tax authorities / appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the account or any proceeds in relation thereto.

Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days.

Please note that you may receive more than one request for information if you have multiple relationships with (Insert FI's name) or its group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information.

FATCA & CRS Instructions

If you have any questions about your tax residency, please contact your tax advisor. If you are a US citizen or resident or greencard holder, please include United States in the foreign country information field along with your US Tax Identification Number.

\$It is mandatory to supply a TIN or functional equivalent if the country in which you are tax resident issues such identifiers. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form.

In case customer has the following Indicia pertaining to a foreign country and yet declares self to be non-tax resident in the respective country, customer to provide relevant Curing Documents as mentioned below:

FATCA & CRS Indicia observed (ticked)	Documentation required for Cure of FATCA/ CRS indicia
U.S. place of birth	 Self-certification that the account holder is neither a citizen of United States of America nor a resident for tax purposes; Non-US passport or any non-US government issued document evidencing nationality or citizenship (refer list below);AND Any one of the following documents: Certified Copy of "Certificate of Loss of Nationality or Reasonable explanation of why the customer does not have such a certificate despite renouncing US citizenship; or Reason the customer did not obtain U.S. citizenship at birth
Residence/mailing address in a country other than India	Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)
Telephone number in a country other than India	 If no Indian telephone number is provided Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below) If Indian telephone number is provided along with a foreign country telephone number Self-certification that the account holder is neither a citizen of United States of America nor a tax resident for tax purposes of any country other than India; OR
Telephone number in a country other than India	Documentary evidence (refer list below) Self-certification that the account holder is neither a citizen of United States of America nor a tax resident of any country other than India; and Documentary evidence (refer list below)

List of acceptable documentary evidence needed to establish the residence(s) for tax purposes:

- 1. Certificate of residence issued by an authorized government body*
- 2. Valid identification issued by an authorized government body* (e.g. Passport, National Identity card, etc.)

^{*} Government or agency thereof or a municipality of the country or territory in which the payee claims to be a resident.